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PTO/SB/21 (05-03) Approved for use through 04/30/2003. OMB 0651-0031

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Application Number 10/075,086

Filing Date 02/10/02

First Named Inventor Haw-minn Lu

Art Unit 2666

Examiner Name Seema Srinivas Rao

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	Fee Transmittal Form Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request			Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s) After Allowance communication to Group Appeal Communication to Bo of Appeals and Interferences Appeal Communication to Bo of Appeals and Interferences Appeal Communication to Bo of Appeals and Interferences Appeal Communication to Bo of Appeals and Interferences Appeal Communication to Bo of Appeals and Interferences Appeal Communication to Bo of Appeals and Interferences Appeal Communication to Bo of Appeals and Interferences Appeal Communication to Bo of Appeals and Interferences Appeal Communication to Bo of Appeals and Interferences Appeal Communication to Bo of Appeals and Interferences Appeal Communication to Bo of Appeals and Interferences Appeal Communication to Bo of Appeals and Interferences Appeal Communication to Bo of Appeal Communication to Bo of Appeal Appeal Communication to Bo of Appeal Commun				mmunication to Board and Interferences mmunication to Group ice, Brief, Reply Brief) Information er osure(s) (please ow): 8A (1pg); Cited References			
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	SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT										
Firm or Individu	ual name	Haw	/-minn Lu								
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



In the United States Patent and Trademark Office

Serial Number:

10/075,086

Application. Filed:

02/10/02

Applicant(s):

Haw-minn Lu

Application. Title:

Fanout Upgrade for a Scalable Switching Network

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Examiner:

Seema Srinivas Rao

FEB 2 7 2004

Group Art Unit:

2666

Technology Center 2600

Mailed:

2004 February 17

At:

San Diego, CA

Information Disclosure Statement

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In compliance with the Applicant's duty under 37 CFR 1.97-98, the following information is brought to the attention of the Examiner. The items are listed on the attached Form PTO/SB/08A and copies are enclosed for the convenience of the Examiner. The Applicant respectfully requests that the documents be made of record in the above-referenced application.

CERTIFICATE OF MAILING (37 C.F.R. §1.8a)

I hereby certify that this paper (along with anything referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

February 17, 2004
Date of Deposit

Haw-minn Lu
Name of Person Mailing Paper

Signature of Person Mailing Paper

U.S. Patent No. 6,049,542 was brought to the attention of the Applicant in an office action

mailed on November 17, 2003 by examiner Derrick W. Ferris directed towards U.S. Patent

Application No. 09/897,263, filed on July 2, 2001 by the Applicant.

The items identified in this Information Disclosure Statement may or may not be "material"

pursuant to 37 CFR 1.56. The submission of these documents thereof by the Applicant shall not be

construed as an admission that any such patent, publication or other information referred to therein is

material or considered to be material (37 CFR 1.97(h)), or even qualifies as "prior art" under 35

U.S.C. § 102 with respect to this invention unless specifically designated by the Applicant as such.

The filing of this Information Disclosure Statement shall not be construed to mean that a

search has been made or that no other material information, as defined in 37 CFR 1.56, exists.

The attached IDS is being filed in accordance with 37 CFR §§ 1.97 and 1.98. This IDS is

believed to be timely in that it is being submitted under 37 CFR § 1.97(b)(3), that is before the

mailing of a first Office Action on the merits. Thus, no petition or fee is required.

Respectfully submitted,

Dated: February 17, 2004

By:

Haw-minn Lu

Applicant Pro Se

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Substitute for form 1449/PTO

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known					
Application Number	10/075,086				
Filing Date	02/10/02				
First Named Inventor	Haw-minn Lu				
Art Unit	2666				
Examiner Name	Seema Srinivas Rao				
Attorney Docket Number					

			U. S. PATENT	F DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		^{US-} 6,049,542	04-11-2000	Prasad	
		^{US-} 5,841,775	11-24-1998	Huang	
		^{US-} 2003/0002437 A1	01-02-2003	Lu	
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FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	Π		
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes Considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes CuspTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.